



This streamlined prequalification process has been established to support the following grant initiatives:

- Securing Communities Against Hate Crimes (SCAHC) Grants
- Future funding for safety and security projects for at risk facilities
- Safe Summer 2021 Gun Violence Community Grants

Please indicate which of these grant initiatives you are interested in applying for:

The questionnaire gathers basic information from potential grant applicants to support the State’s contracting process. Questions are arranged in two categories: Organizational Profile and Integrity Questions. Organizations must provide all information required by this form to prequalify.

Nonprofits that seek to apply for other State grants that are not SCAHC Grants, future funding for safety and security projects for at risk facilities or Safe Summer 2021 Gun Violence Community Grants must follow the traditional prequalification process.

Contact Information

Organization Name: _____

Contact Name: _____

Contact Title: _____

Contact Email Address: _____

Contact Phone Number: _____

By submitting this form, the contact listed above certifies that they are authorized on behalf of the organization to submit this information and that all of the information contained herein is true, correct and complete.

Organizational Profile

Information gathered in this section covers the status and structure of your organization.

1	<p><u>Certification of Incorporation or Equivalent Document</u></p> <p>Organizations prequalifying for funding must provide a Certification of Incorporation or equivalent document. Acceptable documents shall include, but not be limited to:</p> <ol style="list-style-type: none"> 1. A Certification of Incorporation issues by the NYS Department of State 2. A Charter Issued by the NY State Education Department 3. A County Certificate of Incorporation and Bylaws for a Religious Organization 4. Articles of Incorporation for a Foreign Corporation (including certification showing that the organization is registered to do business in NY State).
2	<p><u>Organization Leadership Profiles</u> (Sample profile templates can be found at https://grantsmanagement.ny.gov/get-prequalified. These samples are provided for demonstrative purposes only.)</p> <p>This document should include a brief description of the leadership structure of your organization, identifying any governing Board of Directors (including Officers and Committees of the Board) or Senior Leadership Team (including the Chief Executive Officer, Chief Financial Officer, Executive Director, President or other high-ranking officials).</p>



Integrity Questions

Information gathered in this section provides State agencies with information needed to make informed prequalification determinations.

Question	Answer Choices
Integrity Questions - Please answer each question. "Yes" answers will require additional explanation in the comment box. Please attach additional pages as needed.	
1) Within the past five years, has the organization or any of your organization's affiliates:	
a) been suspended or debarred from any contracting process or been disqualified on any government procurement?	Yes/No
b) been subject to a denial or revocation of a government prequalification?	Yes/No
c) been denied a contract or had a bid rejected based upon a finding of non-responsibility by a government entity?	Yes/No
d) been suspended, cancelled or terminated for cause on any contract?	Yes/No
2) Within the past five years, has any individual identified herein or any other key employees:	
a) had a revocation, suspension, or disbarment of any business or professional permit and/or license?	Yes/No
b) been the subject of an indictment, grant of immunity, judgment or conviction (including entering into a plea bargain) for conduct constituting a felony level crime?	Yes/No
c) been subject to an investigation, whether open or closed, by any government entity for a civil or criminal violation for any business- related conduct?	Yes/No
d) been subject to an indictment, grant of immunity, judgment, or conviction of any business-related conduct constituting a crime including, but not limited to, fraud, extortion, bribery, racketeering, price fixing, bid collusion or any crime related to truthfulness?	Yes/No
3) Within the past five years, has your organization or any of your organization's affiliates received any formal unsatisfactory performance assessment(s) from any government entity on any contract?	Yes/No
4) During the past three years has your organization or any of your organization's affiliates failed to file any required returns, including, if applicable, federal Form 990, with any Federal, State or Local government entity?	Yes/No
5) During the past three years has your organization or any of your organization's affiliates failed to file required returns or pay New York State Unemployment Insurance when required to do so? If yes, indicate the years you failed to file/pay the insurance and the current status of the liability.	Yes/No
6) During the past three years, has your organization or any of your organization's affiliates failed to file documentation requested by any New York State agency, public authority or other quasi-state entity, with the Attorney General of the State of New York, or with any other local, State or federal entity that has made a formal request for information? If yes, indicate the years you failed to file the requested information and the current status of the matter.	Yes/No
Comments:	

Completed forms and required documentation must be emailed to the Grants Management team at: grantsreform@its.ny.gov